SEC Form 5

FORM 5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Form 3 Holdings Reported.

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 ANNUAL STATEMENT OF CHANGES IN BENEFICIAL

OWNERSHIP

OMB APPROVAL

- 1									
	OMB Number:	3235-0362							
	Estimated average burden								
	hours per response:	1.0							

1

Form 4 Transactions Reported.	Fil	led pursuant to Se or Section 30		the Securities Exc estment Company							
1. Name and Address of Reporting Person <u>McCormick James M</u> (Last) (First) VERTEK CORPORATION 463 MOUNTAIN VIEW DRIVE	SYNCHR SNCR]	ONOSS '	or Trading Symbol TECHNOLC scal Year Ended (N	<u>5 INC</u> [^{(C}	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director X 10% Owner Officer (give title below) Other (specify below)						
(Street) COLCHESTER VT (City) (State)	_ 4. If Amendme	ent, Date of O	riginal Filed (Montl		 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person 						
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
Date E: (Month/Day/Year) if		2A. Deemed Execution Date, if any (Month/Day/Year)	ecution Date, Transaction Of (D) (Instr. 3, 4 and 5) ny Code (Instr.			or Disposed	5. Amount of Securities Beneficially Owned at end of	6. Ownership Form: Direct (D) or	7. Nature of Indirect Beneficial Ownership		
				Amount	(A) or (D) Price		Issuer's Fiscal Year (Instr. 3 and 4)	Indirect (I) (Instr. 4)	(Instr. 4)		

	(wonthibay) real)	(Month/Day/Year)	8)				- Owned at end of	(D) or	Ownership (Instr. 4)
				Amount	(A) or (D)	Price	Issuer's Fiscal Year (Instr. 3 and 4)	(D) or Indirect (I) (Instr. 4)	
Common Stock							870,000	I	By Vertek Corporation ⁽¹⁾
Common Stock	05/13/2014		J	249,698 ⁽²⁾	D	\$0.00	0	I	By GRAT
Common Stock	05/13/2014		J	249,698	A	\$0.00	2,487,809	D	
	Table II - Deriva	ative Securitie	es Acquire	d, Disposed	of, or I	Beneficially	Owned		

(e.g., puts, calls, warrants, options, convertible securities) 5. Number 6. Date Exercisable and 7 Title and 8 Price of 9. Number of 10. 4 3A. Deemed 3 Transaction

Der Sec	Title of ivative surity str. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	5. Nur of Derive Secur Acqui (A) or Dispo of (D) (Instr. and 5	Expiration Date (Month/Day/Year) S titles (Month/Day/Year) S sed 3, 4		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
						(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The Reporting Person is the Chief Executive Officer and sole stockholder of Vertek Corporation. The Reporting Person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein, and the inclusion of these shares in the report shall not be deemed an admission of beneficial ownership of all of the reported shares for purposes of Section 16 or for any other purpose. 2. These shares were previously reported as directly beneficially owned but were contributed to a grantor retained annuity trust on May 13, 2010. Reporting Person is the sole trustee of the trust.

Remarks:

/s/ James M. McCormick 02/11/2015

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.