SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Instruction 1(b)).		Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940					
1. Name and Address of Reporting Person* <u>Waldis Stephen G</u>			2. Issuer Name and Ticker or Trading Symbol SYNCHRONOSS TECHNOLOGIES INC SNCR		tionship of Reporting Po (all applicable) Director Officer (give title below)	10% Owner		
(Last) 200 CROSSIN	(First) G BOULEVAR	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 02/20/2020		Executive C	,		
(Street) BRIDGEWATER NJ 08807 (City) (State) (Zip)			4. If Amendment, Date of Original Filed (Month/Day/Year)	ng (Check Applicable eporting Person nan One Reporting				
		Table I - Non-I	Derivative Securities Acquired, Disposed of, or Benefi	cially	Owned			

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)	ction	4. Securities A Disposed Of (I			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount (A) or (D) Price		Transaction(s) (Instr. 3 and 4)		(1150.4)	
Common Stock	02/20/2020		A		26,519 ⁽¹⁾	Α	\$ <mark>0</mark>	563,057	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Stock Option (Right to Buy)	\$5.43	02/20/2020		A		17,679 ⁽²⁾		02/20/2021	02/20/2027	Common Stock	17,679	\$0	17,679	D	

Explanation of Responses:

1. Shares of restricted stock granted pursuant to the Company's 2015 Equity Incentive Plan. One-third of the shares vest on each of February 20, 2021, February 20, 2022 and February 20, 2023 provided individual has continuous service.

2. The option shall become exerciseable with respect to one-third of the shares subject to the option when the Reporting Person completes each year of continuous service after February 20, 2020 **Remarks:**

/s/ Stephen G. Waldis

02/24/2020 Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See